



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

154 2154/8

In re application of: **Jones et al.**

Serial No.: **09/888,472**

Filed: **June 25, 2001**

For: **Method and Apparatus to  
Encourage Client into a Distributed  
Peer to Peer Sharing Technology**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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§

Group Art Unit: **2154**

Examiner: **Martin, Nicholas A.**

Attorney Docket No.: **AUS920010403US1**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

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By:

Louise Fay

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Copy of International Search Report;
- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AB; and
- Our return postcard.

A fee of \$180.00 is believed to be necessary. I authorize the Commissioner to charge these fees required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

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**YEE & ASSOCIATES, P.C.**

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\*\*\* *Information Materials for IDS* \*\*\*

To AUS IP Law Docket Number AUS9-2001-0403 Date Apr/03/06  
 Prepared by Hiroshi Murakami Date of JPO Office Action Feb/24/'06

**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	Eytan Adar and Bernardo A. Huberman, "Free Riding on Gnutella", [Online], First Monday, volume 5, n 10, October 2000, [retrived on February 23, 2006], Retrived from the Internet: URL: <a href="http://firstmonday.org/issues/issue5_10/adar/index.html">http://firstmonday.org/issues/issue5_10/adar/index.html</a>	October/2000	Y	1-15
✓ B	Ron Cocchi, et al., "Pricing in Computer Networks: Motivation Formulation, and Example", IEEE/ACM Transactions on Networking, Vol. 1, No. 6, pages 614-627, December 1, 1993, IEEE	12/01/'93	Y	1-15
C				
D				
E				
F				

<NOTE>

- ◇ PUPA : Published Unexamined Patent Application      ◇ PEPA : Published Examined Patent Application  
 ◇ PUUMA : Published Unexamined Utility Model Application      ◇ PEUMA : Published Examined Utility Model Application  
 ◇ JP : Japanese Patent      ◇ \* : Reference being filed before and published after the priority application date of the subject docket

to be continued ☐

**Background Art** (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA	S.M. Lui, et al., "Participation Incentive Mechanisms in Peer-to-Peer Subscription Systems", Proceedings of the 35th Annual Hawaii International Conference on System Sciences, 2002, HICSS., pages 3925-3931, Jan. 7-10, 2002, IEEE	bgD	
✓ bgB	PUPA 2000-112858	bgE	
bgC	US 2002-0174227 ✓	bgF	

Comment, if any :

to be continued ☐



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Jones et al.**

Serial No: **09/888,472**

Filed: **June 25, 2001**

Title: **Method and Apparatus to Encourage  
Client Into a Distributed Peer to Peer  
Sharing Technology**

Group Art Unit: **2154**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
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05/16/2006 AKELECH1 00000026 090447 09888472

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

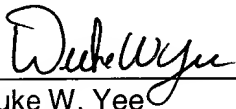
The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

This Supplemental Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

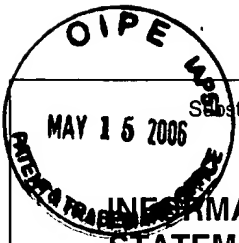
No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 09-0447.

Respectfully submitted,



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****Complete if Known**

Application Number	09/888,472
Filing Date	June 25, 2001
First Named Inventor	Jones et al.
Examiner Name	Martin Nicholas A.
Art Unit	2154
Attorney Docket No.	AUS920010403US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**NON PATENT LITERATURE**

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
AA	ADAR et al., "Free Riding on Gnutella", First Monday, Vol. 5, No. 10, October 2000, retrieved 02-23-2006) <a href="http://firstmonday.org/issues/issue5_10/adar/index.html">http://firstmonday.org/issues/issue5_10/adar/index.html</a>			
AB	LUI et al., "Participation Incentive Mechanisms in Peer-to-Peer Subscription Systems", Proceedings of the 35 <sup>th</sup> Annual Hawaii International Conference on System Sciences, 2002, HICSS, pp. 3925-3931, Jan. 7, 2002, IEEE.			

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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